

# The capacity region of the three receiver less noisy broadcast channel

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**Abstract**—We determine the capacity region of a 3-receiver less noisy broadcast channel. The difficulty in extending the two-receiver result to three-receivers involves extending the Csiszar-sum lemma to three or more sequences, a standard difficulty in this area. In this work we bypass the difficulty by using a new information inequality, for less noisy receivers, that is employed in the converse. We also generalize our result to obtain the capacity region for a class of less noisy receivers.

## I. INTRODUCTION

Consider the problem of reliable communication of  $k$  independent messages  $M_1, \dots, M_k$  over a discrete-memoryless broadcast channel (DM-BC), to  $k$ -receivers  $Y_1, Y_2, \dots, Y_k$  respectively. A  $(2^{nR_1} \times \dots \times 2^{nR_k}, n)$  code for the DM-BC consists of: (i) a message set  $[1 : 2^{nR_1}] \times \dots \times [1 : 2^{nR_k}]$ , (ii) an encoder that assigns a codeword  $x^n(m_1, \dots, m_k)$  to each message-tuple  $(m_1, \dots, m_k)$ , and (iii)  $k$  decoders, decoder  $l$  assigns an estimate  $\hat{m}_l(y_{l,1}^n) \in [1 : 2^{nR_l}]$  or an error message  $e$  to each received sequence  $y_{l,1}^n$ ,  $1 \leq l \leq k$ . We assume that the messages are uniformly distributed over  $[1 : 2^{nR_1}] \times \dots \times [1 : 2^{nR_k}]$ . The probability of error is defined as  $P_e^{(n)} = P\{\cup_{l=1}^k \hat{M}_l \neq M\}$ .

A rate-tuple  $(R_1, \dots, R_k)$  is said to be achievable if there exists a sequence of  $(2^{nR_1} \times \dots \times 2^{nR_k}, n)$  codes with  $P_e^{(n)} \rightarrow 0$  as  $n \rightarrow \infty$ . The capacity region is defined as the closure of the union of all achievable rates.

*Definition 1:* A receiver  $Y_s$  is said to be less noisy [3] than receiver  $Y_t$  if  $I(U; Y_s) \geq I(U; Y_t)$  for all  $U \rightarrow X \rightarrow (Y_s, Y_t)$ .

We denote this relationship (partial-order) by  $Y_s \succeq Y_t$ .

*Remark 1:* Observe that this partial-order only depends on the marginal distributions  $p(y_s|x)$  and  $p(y_t|x)$ .

*Definition 2:* A  $k$ -receiver less noisy broadcast channel is a  $k$ -receiver discrete memoryless broadcast channel where the receivers satisfy the partial order  $Y_1 \succeq Y_2 \succeq \dots \succeq Y_k$ .

The capacity region for the 2-receiver broadcast channel was established (Proposition 3 in [3]) to be the union of rate

pairs  $(R_1, R_2)$  satisfying

$$\begin{aligned} R_1 &\leq I(X; Y_1|U) \\ R_2 &\leq I(U; Y_2) \end{aligned} \quad (1)$$

over all choices of  $(U, X)$  such that  $U \rightarrow X \rightarrow (Y_1, Y_2)$  forms a Markov chain.

The extension of this result to  $k$ -receivers is open,  $k \geq 3$ . In this paper we present a simple proof for the case  $k = 3$ .

Further our proof can also be used to provide an alternate proof for  $k = 2$ , although it must be noted that the original proof provides a strong-converse while ours provides a weak-converse. A modern-day weak converse proof (reproduced in section I-A) for the 2-receiver case may be obtained using the outer bounds in [5], [2], [8], however all use Csiszar sum lemma which has no natural generalization to three receivers. Instead our proof relies on a information inequality (Lemma 1) which helps us by-pass the use of Csiszar sum lemma.

Indeed using this lemma one can also obtain the capacity region for a subset of  $k$ -receiver less noisy broadcast channel (which contains the 3-receiver less noisy broadcast channel as well). However for clarity of exposition, we shall first establish the result for the 3-receiver less noisy broadcast channel and then present the general result for the class of  $k$ -receiver less noisy broadcast channel.

### A. Motivation

In this section we present the (modern-day) weak converse argument for the capacity region of the two-receiver less noisy broadcast channel, to highlight the difficulties encountered in naturally extending it to three or more receivers.

*Theorem 1:* [3] The capacity region of the two-receiver less noisy broadcast channel is the union of rate pairs  $(R_1, R_2)$  satisfying

$$\begin{aligned} R_1 + R_2 &\leq I(U; Y_2) + I(X; Y_1|U) \\ R_2 &\leq I(U; Y_2) \end{aligned}$$

over all choices of  $(U, X)$  such that  $U \rightarrow X \rightarrow (Y_1, Y_2)$  forms a Markov chain.

*Remark 2:* It is easy to see that (by considering corner points) this region is identical to the region in (1).

*Proof:* Here we only show the converse. The direct part uses superposition coding and is standard.

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From Fano's inequality we have

$$\begin{aligned}
& n(R_1 + R_2) - n\epsilon_n \\
& \leq I(M_1; Y_{1,1}^n | M_2) + I(M_2; Y_{2,1}^n) \\
& = \sum_{i=1}^n I(M_1; Y_{1,i} | M_2, Y_{1,1}^{i-1}) + I(M_2; Y_{2,i} | Y_{2,1}^n) \\
& \leq \sum_{i=1}^n I(M_1; Y_{1,i} | M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1}) + I(Y_{2,i+1}^n; Y_{1,i} | M_2, Y_{1,1}^{i-1}) \\
& \quad + I(M_2; Y_{2,i} | Y_{2,1}^n) \\
& \stackrel{(a)}{=} \sum_{i=1}^n I(M_1; Y_{1,i} | M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1}) + I(Y_{1,1}^{i-1}; Y_{2,i} | M_2, Y_{2,i+1}^n) \\
& \quad + I(M_2; Y_{2,i} | Y_{2,1}^n) \\
& \leq \sum_{i=1}^n I(M_1; Y_{1,i} | M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1}) + I(M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1}; Y_{2,i}) \\
& \leq \sum_{i=1}^n I(X_i; Y_{1,i} | U_i) + I(U_i; Y_{2,i}).
\end{aligned}$$

where  $U_i = (M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1})$ . Here (a) follows from Csiszar-sum lemma [1] which implies that

$$\sum_{i=1}^n I(Y_{2,i+1}^n; Y_{1,i} | M_2, Y_{1,1}^{i-1}) = \sum_{i=1}^n I(Y_{1,1}^{i-1}; Y_{2,i} | M_2, Y_{2,i+1}^n). \quad (2)$$

The second inequality is much easier and again follows from Fano's inequality since,

$$\begin{aligned}
& nR_2 - n\epsilon_n \\
& \leq I(M_2; Y_{2,1}^n) \\
& = \sum_{i=1}^n I(M_2; Y_{2,i} | Y_{2,1}^n) \leq \sum_{i=1}^n I(M_2, Y_{2,i+1}^n, Y_{1,1}^{i-1}; Y_{2,i}) \\
& = \sum_{i=1}^n I(U_i; Y_{2,i}).
\end{aligned}$$

Standard arguments (setting  $U_2 = (Q, U_{2Q})$ ) are used to complete the converse. ■

*Remark 3:* Here the Csiszar-sum lemma is crucial for identifying the auxiliary variable in the converse. However there is no useful generalization of this equality (2) to three or more receivers, that can be used in a similar converse. This equality (Csiszar-sum lemma) is used in several of the converses for two receivers (more capable, degraded message sets, semi-deterministic channel) and in each case, the generalization to three or more receivers is absent. For the case of degraded message sets, it is known [7] that the straightforward extension (simple superposition coding) is not optimal. In this paper, we propose the first generalization to three or more receivers, that bypasses the use of Csiszar sum lemma.

The original proof (Proposition 3 in [3]) of the two-receiver less noisy broadcast channel also employs Csiszar sum lemma in a non-explicit manner. It is used in (4.14) [4] in a similar fashion, and this result is in turn used in [3].

One could also fairly argue that even the proof of Lemma 1 here reminds of the use of past and future like in the Csiszar sum lemma; however magically we use this argument only for pairs of receivers and hence no three sequences are

considered simultaneously. One point to note is that while Csiszar sum lemma is very general, our argument is dependent on the partial order between the receivers. However this may also indicate that there are other alternative arguments to the Csiszar sum-lemma which may be worthwhile pursuing.

## II. MAIN RESULTS

### A. Three-receiver less noisy broadcast channel

The main result of the paper is the following:

*Theorem 2:* The capacity region of a 3-receiver less noisy discrete memoryless broadcast channel is given by the union of rate triples  $(R_1, R_2, R_3)$  satisfying:

$$\begin{aligned}
R_1 & \leq I(X; Y_1 | V) \\
R_2 & \leq I(V; Y_2 | U) \\
R_3 & \leq I(U; Y_3)
\end{aligned}$$

over all choices of  $(U, V, X)$  such that  $U \rightarrow V \rightarrow X \rightarrow (Y_1, Y_2, Y_3)$  forms a Markov chain. Further it suffices to consider  $|U| \leq |X| + 1, |V| \leq (|X| + 1)^2$ .

1) *Achievability:* The rate-triples are achievable using superposition coding and jointly typical decoding. The arguments are standard in literature and hence only an outline is provided.

Consider a  $(U, V, X)$  such that  $U \rightarrow V \rightarrow X \rightarrow (Y_1, Y_2, Y_3)$  forms a Markov chain. We will show the achievability of any rate-triple satisfying  $R_3 < I(U; Y_3), R_2 < I(V; Y_2 | U), R_1 < I(X; Y_1 | V)$ .

The encoding proceeds as follows:

- Generate  $2^{nR_3}$  sequence  $u^n(m_3) \sim \prod_{i=1}^n p_U(u_i)$ .
- For each  $m_3$ , generate  $2^{nR_2}$  sequences  $v^n(m_2, m_3)$  distributed according to  $\prod_{i=1}^n p_{V|U}(v_i | u_i)$ .
- Finally for each  $(m_2, m_3)$  pair, generate  $2^{nR_1}$   $x^n(m_1, m_2, m_3)$  sequences distributed according to  $\prod_{i=1}^n p_{X|V,U}(x_i | v_i, u_i) = \prod_{i=1}^n p_{X|V}(x_i | v_i)$ .

Receiver  $Y_3$ , upon receiving  $y_{31}^n$ , assigns  $\hat{M}_3 = m_3$  if there is a unique sequence  $u^n(m_3)$  such that the pair  $(u^n(m_3), y_{31}^n)$  is jointly typical; otherwise receiver  $Y_3$  declares an error. This decoding succeeds with high probability as long as  $R_3 < I(U; Y_3)$ .

Receiver  $Y_2$  performs successive decoding. (This is in general worse than joint decoding, but in this situation successive decoding is sufficient.) Upon receiving  $y_{21}^n$ , assigns  $\hat{M}_3 = m_3$  if there is a unique sequence  $u^n(m_3)$  such that the pair  $(u^n(m_3), y_{21}^n)$  is jointly typical; otherwise receiver  $Y_2$  declares an error. Assuming it finds a unique  $u^n(m_3)$  sequence, it then assigns  $\hat{M}_2 = m_2$  if there is a unique sequence  $v^n(m_2, m_3)$  such that the triple  $(u^n(m_3), v^n(m_2, m_3), y_{21}^n)$  is jointly typical; otherwise receiver  $Y_2$  declares an error. The first step of decoding succeeds with high probability as long as  $R_3 < I(U; Y_2)$ , but this holds as  $I(U; Y_2) \geq I(U; Y_3)$  (since  $Y_2$  is a less-noisy receiver than  $Y_3$ ). The second step of decoding succeeds with high probability as long as  $R_2 < I(V; Y_2 | U)$ .

Similarly, receiver  $Y_1$  also performs successive decoding. The three steps of decoding will succeed with high probability as long as the conditions  $R_3 < I(U; Y_1), R_2 < I(V; Y_1 | U)$ ,

and  $R_1 < I(X; Y_1|V, U) = I(X; Y_1|V)$  hold. Since  $Y_1 \succeq Y_2 \succeq Y_3$  the first two conditions are automatically satisfied. This completes the proof of achievability. ■

2) *Converse*: The interesting part of this proof is the converse, and in particular the use of Lemma 1 to identify the auxiliary random variables.

*Lemma 1*: Let  $X \rightarrow (Y_s, Y_t)$  be a discrete-memoryless broadcast channel without feedback and  $Y_s \succeq Y_t$ . Consider  $M$  to be any random variable such that

$$M \rightarrow X^n \rightarrow (Y_{s,1}^n, Y_{t,1}^n)$$

form a Markov chain. Then the following hold:

- 1)  $I(Y_{s,1}^{i-1}; Y_{t,i}|M) \geq I(Y_{t,1}^{i-1}; Y_{t,i}|M)$ ,  $1 \leq i \leq n$ .
- 2)  $I(Y_{s,1}^{i-1}; Y_{s,i}|M) \geq I(Y_{t,1}^{i-1}; Y_{s,i}|M)$ ,  $1 \leq i \leq n$ .

*Proof*: The proof of Part 1 follows by progressively flipping one co-ordinate of  $Y_{s,1}^{i-1}$  to  $Y_{t,1}^{i-1}$ , and showing that the inequality holds at each flip using the less-noisy ( $Y_s \succeq Y_t$ ) assumption.

Observe that for any  $1 \leq r \leq i-1$

$$\begin{aligned} & I(Y_{t,1}^{r-1}, Y_{s,r}^{i-1}; Y_{ti}|M) \\ &= I(Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}; Y_{t,i}|M) + I(Y_{s,r}; Y_{t,i}|M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}) \\ &\stackrel{(a)}{\geq} I(Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}; Y_{t,i}|M) + I(Y_{t,r}; Y_{t,i}|M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}) \\ &= I(Y_{t,1}^r, Y_{s,r+1}^{i-1}; Y_{ti}|M), \end{aligned}$$

where (a) follows from the following two observations:

- $(M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}, Y_{ti}) \rightarrow X_r \rightarrow (Y_{s,r}, Y_{t,r})$  forms a Markov chain
- The receiver  $Y_s$  is less noisy than  $Y_t$  implying, in particular, that

$$I(Y_{s,r}; Y_{t,i}|M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}) \geq I(Y_{t,r}; Y_{t,i}|M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}).$$

This yields us a chain of inequalities of the form

$$\begin{aligned} & I(Y_{s,1}^{i-1}; Y_{t,i}|M) \geq I(Y_{t,1}, Y_{s,2}^{i-1}; Y_{ti}|M) \geq \dots \\ & \dots \geq I(Y_{t,1}^{i-2}, Y_{s,i-1}; Y_{ti}|M) \geq I(Y_{t,1}^{i-1}; Y_{t,i}|M), \end{aligned}$$

thus establishing the Part 1 of the Lemma.

The proof of Part 2 follows identical arguments (replace  $Y_{ti}$  by  $Y_{si}$ ) as in the proof of Part 1 and is omitted. ■

*Remark 4*: It must be noted that the Lemma 1 is not necessarily true in the presence of feedback. When there is feedback  $(M, Y_{t,1}^{r-1}, Y_{s,r+1}^{i-1}, Y_{ti}) \rightarrow X_r \rightarrow (Y_{s,r}, Y_{t,r})$  do not satisfy the Markov chain relationship.

The main converse follows using Fano's inequality and the above lemma.

Observe that

$$\begin{aligned} nR_3 &\leq I(M_3; Y_{3,1}^n) + n\epsilon_n \\ &= \sum_{i=1}^n I(M_3; Y_{3,i}|Y_{3,1}^{i-1}) + n\epsilon_n \\ &\leq \sum_{i=1}^n I(M_3, Y_{3,1}^{i-1}; Y_{3,i}) + n\epsilon_n \\ &\stackrel{(a)}{\leq} \sum_{i=1}^n I(M_3, Y_{2,1}^{i-1}; Y_{3,i}) + n\epsilon_n \\ &= \sum_{i=1}^n I(U_i; Y_{3,i}) + n\epsilon_n, \end{aligned}$$

where  $U_i = (M_3, Y_{2,1}^{i-1})$ . Here (a) follows from Lemma 1.

From Fano's inequality we also have

$$\begin{aligned} nR_2 &\leq I(M_2; Y_{2,1}^n|M_3) + n\epsilon_n \\ &= \sum_{i=1}^n I(M_2; Y_{2,i}|M_3, Y_{2,1}^{i-1}) + n\epsilon_n \\ &= \sum_{i=1}^n I(V_i; Y_{2,i}|U_i) + n\epsilon_n, \end{aligned}$$

where  $V_i = (M_2, M_3, Y_{2,1}^{i-1})$ .

Finally observe that

$$\begin{aligned} nR_1 &\leq I(M_1; Y_{1,1}^n|M_2, M_3) + n\epsilon_n \\ &= \sum_{i=1}^n I(M_1; Y_{1,i}|M_2, M_3, Y_{1,1}^{i-1}) + n\epsilon_n \\ &\stackrel{(a)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i}|M_2, M_3, Y_{1,1}^{i-1}) + n\epsilon_n \\ &\stackrel{(b)}{=} \sum_{i=1}^n I(X_i; Y_{1,i}|M_2, M_3) - I(Y_{1,1}^{i-1}; Y_{1,i}|M_2, M_3) + n\epsilon_n \\ &\stackrel{(c)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i}|M_2, M_3) - I(Y_{2,1}^{i-1}; Y_{1,i}|M_2, M_3) + n\epsilon_n \\ &\stackrel{(d)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i}|M_2, M_3, Y_{2,1}^{i-1}) + \epsilon_n \\ &= \sum_{i=1}^n I(X_i; Y_{1,i}|V_i) + n\epsilon_n. \end{aligned}$$

Here (a), (b), and (d) follow from the data processing inequality and that

$$(M_1, M_2, M_3, Y_{1,1}^{i-1}, Y_{2,1}^{i-1}) \rightarrow X_i \rightarrow Y_{1i}$$

forms a Markov chain. The inequality (c) follows from Part 2 of Lemma 1.

Let  $Q \in \{1, 2, \dots, n\}$  to be a uniformly distributed random variable independent of all other random variables. Setting  $U = (U_Q, Q)$ ,  $V = (V_Q, Q)$ ,  $X = X_Q$  completes the converse in the standard way. Clearly  $U \rightarrow V \rightarrow X$  forms a Markov chain as  $V_i = (U_i, M_2)$ . The cardinality arguments are standard in literature (see [1], [7]), and follows using the Fenchel-Bunt strengthening of the usual Caratheodory's argument. ■

*Remark 5*: The choice of  $U_i = (M_3, Y_{2,1}^{i-1})$ ,  $V_i = (M_2, M_3, Y_{2,1}^{i-1})$  might suggest to a reader that this region

may still be optimal in the presence of feedback. However as noted in Remark 4, the absence of feedback is crucially used in the proof of Lemma 1, and hence this argument does not go through.

We can also use Lemma 1 to give a new proof for the 2-receiver case without resorting to Csiszar sum lemma. Indeed one can directly prove a weak converse for (1), instead of proving it for an equivalent region, the one in Theorem 1.

3) *A new converse for the 2-receiver setting:* Here, we just provide an outline of the steps. Observe that in the two receiver case,

$$\begin{aligned}
nR_1 &\leq I(M_1; Y_{1,1}^n | M_2) + n\epsilon_n \\
&= \sum_{i=1}^n I(M_1; Y_{1,i} | M_2, Y_{1,1}^{i-1}) + n\epsilon_n \\
&\stackrel{(a)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i} | M_2, Y_{1,1}^{i-1}) + n\epsilon_n \\
&\stackrel{(b)}{=} \sum_{i=1}^n I(X_i; Y_{1,i} | M_2) - I(Y_{1,1}^{i-1}; Y_{1,i} | M_2) + n\epsilon_n \\
&\stackrel{(c)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i} | M_2) - I(Y_{2,1}^{i-1}; Y_{1,i} | M_2) + n\epsilon_n \\
&\stackrel{(d)}{\leq} \sum_{i=1}^n I(X_i; Y_{1,i} | M_2, Y_{2,1}^{i-1}) + \epsilon_n \\
&= \sum_{i=1}^n I(X_i; Y_{1,i} | U_i) + n\epsilon_n,
\end{aligned}$$

where  $U_i = (M_2, Y_{2,1}^{i-1})$ . Here (a), (b), and (d) follow from the data processing inequality and that

$$(M_1, M_2, Y_{1,1}^{i-1}, Y_{2,1}^{i-1}) \rightarrow X_i \rightarrow Y_{1i}$$

forms a Markov chain, and inequality (c) follows from Part 2 of Lemma 1.

The inequality

$$\begin{aligned}
nR_2 &\leq \sum_{i=1}^n I(M_2, Y_{2,1}^{i-1}; Y_{2,i}) + n\epsilon_n \\
&= \sum_{i=1}^n I(U_i; Y_{2,i}) + n\epsilon_n.
\end{aligned}$$

is immediate from Fano's inequality.

Thus Lemma 1 gives a new proof for the 2-receiver case without resorting to Csiszar sum lemma, and this proof generalizes to three receivers.

*Remark 6:* A natural question here is whether this approach generalizes to more than three receivers. It appears to the authors that to generalize this argument to more than three receivers, one has to impose additional constraints on the class of  $k$ -receiver less broadcast noisy channels. Since this generalization leads to a rather interesting condition we shall define the class, and give a brief outline as to why the proof generalizes naturally under this setting. However, currently this proof idea does not generalize to more than 3-receivers. We will discuss this after the next section.

## B. The $k$ -receiver interleavable broadcast channel

*Definition 3:* A  $k$ -receiver less noisy broadcast channel is said to belong to be an *interleavable* broadcast channel if there exists  $k-1$  virtual receivers  $V_1, \dots, V_{k-1}$  satisfying:

- $X \rightarrow V_1 \rightarrow \dots \rightarrow V_{k-1}$  forms a Markov chain and
- The following “interleaved” less noisy condition holds:

$$Y_1 \succeq V_1 \succeq Y_2 \succeq \dots \succeq Y_{k-1} \succeq V_{k-1} \succeq Y_k. \quad (3)$$

This class generalizes the 3-receiver less noisy broadcast channel. Indeed, the following broadcast channels are some examples belonging to this class :

- 1) A sequence of degraded receivers, i.e.  $X \rightarrow Y_1 \rightarrow \dots \rightarrow Y_k$ ; set  $V_i = Y_{i+1}$ ,
- 2) A sequence of “nested” less noisy receivers, i.e.  $Y_i \succeq (Y_{i+1}, \dots, Y_k)$ ; set  $V_i = (Y_{i+1}, \dots, Y_k)$ ,
- 3) A 3-receiver less noisy sequence, i.e.  $Y_1 \succeq Y_2 \succeq Y_3$ ; set  $V_1 = V_2 = Y_2$ .

From Remark 1 we know that the less-noisy ordering only depends on the marginals. Hence without loss of generality we can assume that the probability distribution factorizes as follows:

$$\begin{aligned}
&p(x^n, y_1^n, \dots, y_k^n, v_1^n, \dots, v_{k-1}^n) \\
&= \prod_{i=1}^n p(x_i | x^{i-1}) p(y_{1i}, \dots, y_{ki}, v_{1i}, \dots, v_{k-1,i} | x_i) \\
&= \prod_{i=1}^n p(x_i | x^{i-1}) p(y_{1i}, \dots, y_{ki} | x_i) p(v_{1i}, \dots, v_{k-1,i} | x_i) \\
&= \prod_{i=1}^n p(x_i | x^{i-1}) p(y_{1i}, \dots, y_{ki} | x_i) p(v_{1i} | x_i) \prod_{j=2}^{k-1} p(v_{ji} | v_{j-1,i})
\end{aligned}$$

Here the first equality is due to the fact that the channel is DMC without feedback, second is due to the fact that the assumptions on the less noisy structure just depend on the marginals, and third is due to the Markov chain  $X \rightarrow V_1 \rightarrow \dots \rightarrow V_{k-1}$ .

Given this structure we immediately observe the following Markov chain

$$V_{s,1}^{i-1} \rightarrow V_{s-1,1}^{i-1} \rightarrow X^n, Y_1^n, \dots, Y_k^n, M_1, \dots, M_k. \quad (4)$$

for  $1 \leq s \leq k-1$ ; (set  $V_0 = X$ ).

*Theorem 3:* The capacity region of a  $k$ -receiver interleavable less-noisy discrete memoryless broadcast channel is given by the union of rate triples  $(R_1, \dots, R_k)$  satisfying

$$R_l \leq I(U_l; Y_l | U_{l+1}), \quad 1 \leq l \leq k,$$

over all choices of  $(U_2, \dots, U_k, X)$  such that  $(U_{k+1} = \emptyset) \rightarrow U_k \rightarrow \dots \rightarrow U_2 \rightarrow (U_1 = X) \rightarrow (Y_1, Y_2, \dots, Y_k)$  forms a Markov chain. Further it suffices to consider  $|U_{k-r}| \leq (|X| + 1)^{r+1}$ ,  $1 \leq r \leq k-2$ .

*Proof:* The proof is almost identical to that of the three receiver broadcast channel. The achievability proof is standard using superposition encoding and successive decoding and is omitted here.

Let  $M_{l+1}^k = (M_{l+1}, \dots, M_k)$ . Using Fano's inequality, observe that for  $1 \leq l \leq k$ .

$$\begin{aligned}
nR_l &\leq I(M_l; Y_{l,1}^n | M_{l+1}^k) + n\epsilon_n \\
&= \sum_{i=1}^n I(M_l; Y_{l,i} | M_{l+1}^k, Y_{l,1}^{i-1}) + n\epsilon_n \\
&= \sum_{i=1}^n I(M_l, Y_{l,1}^{i-1}; Y_{l,i} | M_{l+1}^k) \\
&\quad - I(Y_{l,1}^{i-1}; Y_{l,i} | M_{l+1}^k) + \epsilon_n \\
&\stackrel{(a)}{\leq} I(M_l, Y_{l,1}^{i-1}; Y_{l,i} | M_{l+1}^k) \\
&\quad - I(V_{l,1}^{i-1}; Y_{l,i} | M_{l+1}^k) + \epsilon_n \\
&\stackrel{(b)}{\leq} I(M_l, V_{l-1,1}^{i-1}; Y_{l,i} | M_{l+1}^k) \\
&\quad - I(V_{l,1}^{i-1}; Y_{l,i} | M_{l+1}^k) + \epsilon_n \\
&\stackrel{(c)}{=} I(M_l, V_{l-1,1}^{i-1}; Y_{l,i} | M_{l+1}^k, V_{l,1}^{i-1}) \epsilon_n \\
&= \sum_{i=1}^n I(U_{l,i}; Y_{l,i} | U_{l+1,i}) + n\epsilon_n,
\end{aligned}$$

where  $U_{l,i} = (M_l^k, V_{l-1,1}^{i-1})$ . We set  $V_0 = X$ . Here the inequalities (a), (b) follow from the Lemma 1 and that  $V_{l-1} \succeq Y_l \succeq V_{s-1}$ . The equality (c) follows from the Markov chain in (4).

Define  $Q$  to be a uniform random variable taking values in  $\{1, \dots, n\}$  and independent of all other random variables. As usual, we set  $U_l = (U_{l,Q}, Q)$  and  $X = X_Q$ . Since  $X \rightarrow V_1 \rightarrow \dots \rightarrow V_{k-1}$  is a Markov chain it follows that  $U_k \rightarrow U_{k-1} \rightarrow \dots \rightarrow U_2 \rightarrow X$  forms a Markov chain as well. The cardinality arguments are again standard and omitted. This completes the proof of the converse. ■

*Remark 7:* It is not very difficult to observe that in general the 4-receiver less noisy broadcast channel is not an *interleavable* broadcast channel. To observe this, let  $Z_1 \succeq Z_2$  be any pair of less noisy but not degraded (stochastically) receivers. (Such a pair exists, see [3] or [6]). For instance take  $X \rightarrow Z_1$  to be  $BEC(0.5)$  and  $X \rightarrow Z_2$  to be  $BSC(0.2)$ . Now let  $Y_1, Y_2 \approx Z_1$ ; say, take  $Y_1 = Z_1$  and  $Y_2$  to be an erased version of  $Z_1$  with erasure probability  $\epsilon_1$ . If we let  $\epsilon_1 \rightarrow 0$  then for  $V_1$  to satisfy  $Y_1 \succeq V_1 \succeq V_2$  it must be that  $V_1 \rightarrow Z_1$ . Similarly take  $Y_3 = Z_2$  and  $Y_4$  to be an erased version of  $Z_1$  with erasure probability  $\epsilon_2$ . Similarly if we set  $\epsilon_2 \rightarrow 0$  it must be that  $V_3 \rightarrow Z_2$ . However  $X \rightarrow V_1 \rightarrow V_3$  is not a Markov chain since  $X \rightarrow Z_1 \rightarrow Z_2$  is not. Hence the problem of determining the capacity of  $k$ -receiver less noisy channel  $k \geq 4$  is still very much open.

### III. CONCLUSION

We establish the capacity region for the 3-receiver less noisy broadcast channel. We also compute the capacity region for a class of  $k$ -receiver less noisy sequences that contain the previously mentioned scenario. A new information inequality is used to obtain the converse, and this technique also simplifies the original proof [3] of the converse of the 2-receiver broadcast channel.

The problem of determining the capacity region of the 4-receiver less noisy broadcast channel is still open. So is the question of determining the capacity region of a three-receiver more capable [3] channel. Among the other simple-to-state open problems in this area, one also does not know the capacity of the three receiver degraded broadcast channel with two messages [7], [9], where two of the three receivers need to recover both the messages while the third is only interested in one of them. This problem is peculiar in the sense that the best known achievable region to date has only one auxiliary random variable, and yet one does not know if the region is optimal.

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